

Monika PILŚNIAK

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Nordhaus-Gaddum Bounds for the Distinguishing Index

Monika Piłśniak*

AGH University of Science and Technology,

al. Mickiewicza 30, 30-059 Krakow, Poland

pilsniak@agh.edu.pl

Abstract

The distinguishing index of a graph G , denoted by $D'(G)$, is the least number of colours in an edge colouring of G not preserved by any non-trivial automorphism. We investigate the Nordhaus-Gaddum type relation:

$$2 \leq D'(G) + D'(\overline{G}) \leq \max\{\Delta(G), \Delta(\overline{G})\} + 2$$

and prove that it holds for some classes of graphs. To do this, we prove some results which might be of interest as such. In particular, we show that $D'(G) \leq 2$ if G is traceable, and $D'(G) \leq 3$ if G is either claw-free or 3-connected and planar. We also characterize all connected graphs G with $D'(G) \geq \Delta(G)$.

Keywords: edge colourings; symmetry breaking in graphs; distinguishing index; claw-free graphs, planar graph

Mathematics Subject Classifications: 05C25, 05C15

1 Introduction

We follow standard terminology and notation of graph theory (see, e.g. [8]). In this paper, we consider general, i.e., not necessarily proper, edge colourings

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of graphs. Such a colouring c of a graph G *breaks an automorphism* $\varphi \in \text{Aut}(G)$ if φ does not preserve colours of c . The *distinguishing index* $D'(G)$ of a graph G is the least number d such that G admits an edge colouring with d colours that breaks all non-trivial automorphisms (such a colouring is called a *distinguishing d -colouring*). Clearly, $D'(K_2)$ is not defined, so in this paper, a graph G is called *admissible* if neither G nor \overline{G} contains K_2 as a connected component.

The definition of $D'(G)$, introduced by Kalinowski and Pilśniak in [12], was inspired by the well-known *distinguishing number* $D(G)$ which was defined for general vertex colorings by Albertson and Collins [1]. Another concept is the *distinguishing chromatic number* $\chi_D(G)$ introduced by Collins and Trenk [4] for proper vertex colourings. Both numbers, $D(G)$ and $\chi_D(G)$, have been intensively investigated by many authors in recent years.

In 1956, Nordhaus and Gaddum obtained the following lower and upper bounds for the sum of the chromatic numbers of a graph and its complement (actually, the upper bound was first proved by Zykov [18] in 1949).

Theorem 1 [13] *If G is a graph of order n with a chromatic number $\chi(G)$, then*

$$2\sqrt{n} \leq \chi(G) + \chi(\overline{G}) \leq n + 1.$$

Since then, Nordhaus-Gaddum type bounds were obtained for many graph invariants. An exhaustive survey is given in [2]. Here, we adduce only those closely related to the topic of our paper.

In 1964, Vizing [15] considered proper edge colourings and he proved Nordhaus-Gaddum type bounds for the chromatic index of a graph.

Theorem 2 [15] *If G is a graph of order n with a chromatic index $\chi'(G)$, then*

$$n - 1 \leq \chi'(G) + \chi'(\overline{G}) \leq 2(n - 1).$$

In 2013, Collins and Trenk [5] proved Nordhaus-Gaddum type inequalities for the distinguishing chromatic number.

Theorem 3 [5] *For every graph of order n and distinguishing number $D(G)$ the following inequalities are satisfied*

$$2\sqrt{n} \leq \chi_D(G) + \chi_D(\overline{G}) \leq n + D(G).$$

Kalinowski and Pilśniak [12] also introduced a *distinguishing chromatic index* $\chi'_D(G)$ of a graph G as the least number of colours in a proper edge colouring that breaks all non-trivial automorphisms of G . They proved the following somewhat unexpected result.

Theorem 4 [12] *If G is a connected graph of order $n \geq 3$, then*

$$\chi'_D(G) \leq \Delta(G) + 1$$

except for four graphs of small orders C_4 , K_4 , C_6 , $K_{3,3}$.

Clearly, $\chi'_D(G) \geq \chi'(G)$. Therefore, the following Nordhaus-Gaddum type inequalities for the distinguishing chromatic index can be easily derived from Theorem 2 and Theorem 4.

Theorem 5 *If G is an admissible graph of order $n \geq 7$, then*

$$n - 1 \leq \chi'_D(G) + \chi'_D(\overline{G}) \leq 2(n - 1).$$

□

Collins and Trenk observed in [5] that the Nordhaus-Gaddum type relation is trivial for the distinguishing number, as $D(G) + D(\overline{G}) = 2D(G)$ since $\text{Aut}(\overline{G}) = \text{Aut}(G)$ and every colouring of $V(G)$ breaking all non-trivial automorphisms of G also breaks those of \overline{G} .

The main aim of this paper is to investigate Nordhaus-Gaddum type inequalities for the distinguishing index of a graph. We formulate and discuss the following conjecture.

Conjecture 6 *Let G be an admissible graph of order $n \geq 7$, and let $\Delta = \max\{\Delta(G), \Delta(\overline{G})\}$. Then*

$$2 \leq D'(G) + D'(\overline{G}) \leq \Delta + 2.$$

2 Preliminary results

In the sequel, we make use of some facts proved in [12].

Proposition 7 [12] *$D'(P_n) = 2$ for every $n \geq 3$.*

Proposition 8 [12] $D'(C_n) = 3$ for $n \leq 5$, and $D'(C_n) = 2$ for $n \geq 6$.

Proposition 9 [12] $D'(K_n) = 3$ if $3 \leq n \leq 5$, and $D'(K_n) = 2$ if $n \geq 6$.

Recall that every finite tree T has either a central vertex or a central edge, which is fixed by every automorphism of T . A *symmetric tree*, denoted by $T_{h,d}$, is a tree with a central vertex v_0 , all leaves at the same distance h from v_0 and all vertices that are not leaves of equal degree d . A *bisymmetric tree*, denoted by $T''_{h,d}$, is a tree with a central edge e_0 , all leaves at the same distance h from the edge e_0 and all vertices which are not leaves of equal degree d .

Theorem 10 [12] *If T is a tree of order $n \geq 3$, then $D'(T) \leq \Delta(T)$. Moreover, equality is achieved if and only if T is either a symmetric or a bisymmetric tree.*

For connected graphs in general there is the following upper bound for $D'(G)$.

Theorem 11 [12] *If G is a connected graph of order $n \geq 3$, then*

$$D'(G) \leq \Delta(G)$$

unless G is C_3 , C_4 or C_5 .

It follows for connected graphs that $D'(G) \geq \Delta(G)$ if and only if $D'(G) = \Delta(G) + 1$ and G is a cycle of length at most 5. The equality $D'(G) = \Delta(G)$ holds for all paths, for cycles of length at least 6, for K_4 , $K_{3,3}$ and for symmetric or bisymmetric trees. Now, we show that $D'(G) < \Delta(G)$ for all other connected graphs. A *palette* of a vertex is the set of colours of edges incident to it.

Theorem 12 *Let G be a connected graph that is neither a symmetric nor an asymmetric tree. If the maximum degree of G is at least 3, then $D'(G) \leq \Delta(G) - 1$ unless G is K_4 or $K_{3,3}$.*

Proof. The conclusion is true for trees due to Theorem 10. We assume that the order of a graph G is at least 7 as the claim for smaller graphs can be easily verified (we skip this to save space).

Denote $\Delta = \Delta(G)$. Consider a maximal subgraph G' of G without pendant subtrees and pendant triangles (a subgraph is pendant if it has only one vertex in common with the rest of a graph). First, we construct an edge

colouring c stabilizing all vertices of G' by any automorphism preserving c . Next, we can easily colour pendant subtrees and pendant triangles with $\Delta - 1$ colours, even if G' is empty.

We use a similar notation as in the proof of Theorem 11 in [12]. By $N_i(v)$ we denote the set of vertices of distance i from a vertex v . Let x be a vertex with the maximum degree of G . We colour all edges incident to x with 1. In our edge colouring c of the graph G' , the vertex x will be the unique vertex of the maximum degree with the monochromatic palette $\{1\}$. Hence, it will be fixed by every automorphism φ preserving c . The neighbourhood $N_1(x)$ can be partitioned into subsets M_k , for $k = 0, 1, \dots, \Delta - 1$, defined as

$$M_k = \{v \in N_1(x) : |N_1(v) \cap N_2(x)| = k\}.$$

Denote $M_k = \{v_1, \dots, v_{l_k}\}$, $k = 0, 1, \dots, \Delta - 1$. Thus, $l_0 + l_1 + \dots + l_{\Delta-1} = \Delta$.

We want to find a colouring of the edges of $G'[N_1(x) \cup N_2(x)]$ such that each vertex of $N_1(x) \cup N_2(x)$ is fixed by every automorphism preserving this colouring. We proceed in a number of steps.

Step M_0 . Observe that, by our choice of G' , a subgraph $G'[M_0]$ of G' induced by the vertices of the set M_0 contains neither isolated vertices nor isolated edges. Moreover $\Delta(G'[M_0]) \leq \Delta - 1$ and we want to colour edges of $G'[M_0]$ with $\Delta - 1$ colours. This is possible by Theorem 11 unless $G'[M_0]$ either is a small cycle of length at most 5 or it is disconnected. If $l_0 = \Delta$ and $G'[M_0] \in \{C_3, C_4, C_5\}$, then $G \in \{K_4, K_5, K_6\}$, respectively. A distinguishing colouring is given by Theorem 9, and it uses Δ colours for K_4 . If $l_0 < \Delta$, we can use a third colour for small cycles since then $\Delta \geq 4$.

If $G'[M_0]$ is disconnected then $\Delta \geq 6$ and we have to distinguish all isomorphic components. Denote such a component by G_1 . Suppose that $tG_1 \subseteq G'[M_0]$, for some $t > 1$. Recall that $|G_1| \geq 3$, so $t \leq \frac{\Delta}{3}$. We can choose distinct sets of colours for every component since

$$\binom{\Delta - 1}{\frac{\Delta}{t}} \geq \binom{\Delta - 1}{3} \geq \frac{\Delta}{3} \geq t,$$

where $\frac{\Delta}{t} - 1$ is an upper bound for the maximum degree of G_1 . Thus, each vertex of M_0 is fixed.

Step M_1 . For every $i = 1, \dots, l_1$, we colour every edge $v_i u$, where $u \in N_2(x)$, with a distinct colour from $\{1, \dots, \Delta - 1\}$. This is impossible only if $l_1 = \Delta$. Then we choose two vertices a and b in $G'[M_1]$ such that its

neighbours a' and b' , respectively, in $N_2(x)$ have distinct neighbourhoods in $N_2(x)$ or in $N_3(x)$. Then we colour with 1 one edge incident with b' (but neither $a'b'$ nor bb'). It is impossible only if $|N_2(x)| = 1$. However, it is easy to find a distinguishing colouring also in this case. Next, we colour all the remaining edges incident to $v_i \in M_1$ with 1, and all the remaining edges in $N_2(x)$ with 2. Thus, each vertex of M_1 is fixed.

Step M_2 . For every $i = 1, \dots, l_2$, we colour the edges $v_i u_1, v_i u_2$ where $\{u_1, u_2\} \subseteq N_2(x)$, with two distinct colour sets from among $\binom{\Delta-1}{2}$ sets. This is impossible only in three cases:

a) if $l_2 = \Delta = 3$. Then we choose two vertices a and b in $G'[M_2]$ such that $N(a) \cap N(b) \cap N_2(x) = \{y\}$. We colour the edges aa' and cc' with 1 (also if $c' = y$) and the edges ay, bb', by, cc'' with 2. If such a choice of vertices a and b is impossible then either

– $N(a) \cap N(b) \cap N(c) \cap N_2(x) = \{y, z\}$, and then G is isomorphic to $K_{3,3}$;

or

– $N(a) \cap N(b) \cap N_2(x) = \{y, z\}$ and $N(a) \cap N(c) \cap N_2(x) = \emptyset$, and then we colour an edge by with 1 and edges ay, az, bz with 2, and two edges incident with a vertex c with 1 and 2, or

– for every two vertices a, b of $G'[M_2]$, the set $N(a) \cap N(b) \cap N_2(x)$ is empty. There exists an i such that $N_i(x)$ contains vertices a' in the subtree T_a and b' in the subtree T_b such that $a'b' \in E(G')$ since G' does not have pendant subtrees and triangles. Similarly, there exists a j such that $N_j(x)$ contains vertices a'' in the subtree T_a and c'' in the subtree T_c such that $a''c'' \in E(G')$. Then we colour these two edges $a'b', a''c''$ with 1, and all remaining edges of $G'[N_i(x)]$ and $G'[N_j(x)]$ with 2. Moreover, let a_1 be a vertex of $G'[N_2(x)]$ which is on the path $a - a'$, let b_1 be a vertex of $G'[N_2(x)]$ which is on the path $b - b'$, and let c_1 be a vertex of $G'[N_2(x)]$ which is on the path $c - c''$. If a_1 is on the path $a - a''$, then we colour the edges aa_1, bb_2 and cc_1 with 2, and the edges aa_2, bb_1 and cc_2 with 1. If a_1 is not on the path $a - a''$, then we colour the edges aa_2, bb_1 and cc_1 with 2, and the edges aa_1, bb_2 and cc_2 with 1.

b) if $l_2 = \Delta = 4$. Then we choose two vertices a and b in $G'[M_2]$ such that $N(a) \cap N_2(x) \neq N(b) \cap N_2(x)$ and $N(a) \cap N(b) \cap N_2(x) \neq \emptyset$. We colour with 2 and 3 the edges incident with a and with 2 both edges incident with b . It is impossible only if $G'[M_2] \cup N(G'[M_2]) \cap N_2(x) \subseteq K_{3,4}$ (then two colours suffice to fix all seven vertices, by Theorem 14, as $K_{3,4}$ is traceable), or if for every a and b in $G'[M_2]$, the set $N(a) \cap N(b) \cap N_2(x)$ is empty (then two vertices of $G'[M_2]$ obtain the same pair of colours and we can distinguish

them in next levels recursively).

c) if $l_2 = \Delta - 1$ and $\Delta = 3$. Let a and b be the two vertices in $G'[M_2]$. If $N(a) \cap N(b) \cap N_2(x) \neq \emptyset$, then we colour with 1 and 2 the two edges incident to a and both edges incident to b with 2. If the set $N(a) \cap N(b) \cap N_2(x)$ is empty, then there exists an i such that $N_i(x)$ contains vertices a' in the subtree T_a and b' in the subtree T_b such that $a'b' \in E(G')$ because G' does not have pendant subtrees and triangles. Then we colour the edge $a'b'$ with 1 and all remaining edges of $G'[N_i(x)]$ with 2. Let a_1 be a vertex of $G'[N_2(x)]$ which is on the path $a - a'$, and let b_1 be a vertex of $G'[N_2(x)]$ which is on the path $b - b'$. Then we colour the edges aa_1, bb_2 with 1, and the edges aa_2, bb_1 with 2.

Next, we colour all the remaining edges incident to $v_i \in M_2$ with 2 and all the remaining edges in $N_2(x)$ with 2. Thus, each vertex of M_2 is fixed.

Step M_j , for $j \geq 3$. For every $i = 1, \dots, l_j$, we colour the edges $v_i u$, where $u \in N_2(x)$, with distinct sets of j colours from $\binom{\Delta-1}{j}$ sets. It is always possible whenever $\binom{\Delta-1}{j} \geq l_j$. This inequality does not hold only in two cases.

- If $j = \Delta - 2$ and $l_j = \Delta$, then we define a colouring with $\Delta - 1$ colours like in Step M_2 b).

- If $j = \Delta - 1$ and $l_j \geq 2$, then we can use multisets of colours (without a monochromatic set $\{1\}$) for colouring edges incident with $v \in M_j$ and we define a colouring with $\Delta - 1$ colours like in Step M_2 a) and c), but it is more technical and complicated.

Clearly, each vertex of $N_1(x) \cup N_2(x)$ is fixed by every automorphism preserving the colouring c .

Then for $v_j \in N_j(x)$, $j \geq 2$, we colour all edges $v_j u$, $u \in N_{j+1}(x)$, with distinct colours from $\{1, \dots, \Delta - 1\}$ and the remaining edges incident to v_j with 2.

Then we recursively colour the edges incident to consecutive spheres $N_j(x)$ in the same way as previously. It is easily seen that it is always possible. Hence, all vertices of G' are fixed by any automorphism φ preserving our colouring c .

It is not difficult to observe that x is the unique vertex of the maximum degree with the monochromatic palette $\{1\}$. \square

3 Some classes of graphs

We say that a graph G is *almost spanned* by a subgraph H if $G - v$ is spanned by H for some $v \in V(G)$. The following observation will play a crucial role in this section.

Lemma 13 *If a graph G is spanned or almost spanned by a subgraph H , then*

$$D'(G) \leq D'(H) + 1.$$

Proof. We colour the edges of H with colours $1, \dots, D'(H)$, and all other edges of G with an additional colour 0. If φ is an automorphism of G preserving this colouring, then $\varphi(x) = x$, for each $x \in V(H)$. Moreover, if H is a spanning subgraph of $G - v$, then also $\varphi(v) = v$. Therefore, φ is the identity. \square

Traceable graphs

Theorem 14 *If G is a traceable graph of order $n \geq 7$, then $D'(G) \leq 2$.*

Proof. Let $P_n = v_1v_2 \dots v_n$ be a Hamiltonian path of G . If $G = P_n$ then the conclusion follows from Proposition 7. If G is isomorphic to $P_n + v_1v_3$, then we colour the edge v_1v_3 with 1, and all other edges with 2 breaking all nontrivial automorphisms of G . Then suppose that G contains an edge v_iv_j distinct from v_1v_3 with $i < j - 1$. Without loss of generality we may assume that $i - 1 \leq n - j$. It is easy to see that at least one of the graphs $P_n + v_iv_j - v_{j-1}v_j$, $P_n + v_iv_j - v_{j-1}$ or $P_n + v_iv_j - v_n$ is an asymmetric spanning or almost spanning subgraph of G for any $n \geq 7$. The conclusion follows from Lemma 13. \square

The assumption $n \geq 7$ is substantial in the above theorem since $D'(K_{3,3}) = 3$.

Claw-free graphs

A $K_{1,3}$ -free graph, called also a *claw-free graph*, is a graph containing no copy of $K_{1,3}$ as an induced subgraph. Claw-free graphs have numerous applications, e.g., in operations research and scheduling theory. For a survey of claw-free graphs and their applications consult [6].

A k -tree of a connected graph is its spanning tree with the maximum degree k . Win [17] investigated spanning trees in 1-tough graphs and proved the following result.

Theorem 15 [17] *A 2-connected claw-free graph has a 3-tree.*

We use this result to give an upper bound for the distinguishing number of claw-free graphs.

Theorem 16 *If G is a connected claw-free graph, then $D'(G) \leq 3$.*

Proof. Assume first that G is 2-connected. Let T be a 3-tree of G . By Theorem 10 and Theorem 15, we have $D'(T) \leq 2$ if T is neither symmetric nor bisymmetric tree. Hence, $D'(G) \leq 3$ by Lemma 13.

Let T be a symmetric tree $T_{h,3}$. Denote a central vertex of T by x and its neighbour by a, b, c . Since G is a claw-free graph, there exists in G at least one edge, say bc , in the neighbourhood of x in T . Define a subgraph $\tilde{T} = T + ab$. We colour bc, xa and xb with 1, and xc with 2. Thus all vertices a, b, c, x are fixed by every nontrivial automorphisms of \tilde{T} . We now colour the remaining edges in \tilde{T} starting from the edges incident to a, b, c in such way that two uncoloured adjacent edges obtain two different colours 1 and 2. This colouring breaks all non-trivial automorphisms of \tilde{T} . Hence, $D'(G) \leq 3$ by Lemma 13.

Let T be a bisymmetric tree $T''_{h,3}$. Denote a central edge by xy and its neighbours by a, b, c, d . We colour xy, xa and yc with 1, and xb and yd with 2. Since G is a claw-free graph, there exist in G either at least one of edges by, cx or both ab and cd . We define a subgraph \tilde{T} obtained from the tree T by adding either one of the edges by, cx or both ab and cd . In the first case we colour by or cx with 1, in the second case we colour ab with 1 and cd with 2. Now all vertices a, b, c, d, x, y are fixed by every nontrivial automorphism of \tilde{T} . We then colour the remaining edges of \tilde{T} as above, and we obtain the claim.

If a graph G is not 2-connected, then its graph of blocks and cut-vertices is a path, since G is claw-free. We colour every block according to the rules described above. Then to break all non-trivial automorphisms of G , it is enough to ensure that two terminal blocks has no isomorphic colourings. This is possible by exchanging 1 and 2 in a colouring of edges in a neighbourhood of a centrum of a spanning tree of G . \square

Planar graphs

First, recall that by a famous Theorem of Tutte [14], every 4-connected planar graph is hamiltonian. Hence its distinguishing index is at most 2, by Theorem 14. A similar result as for claw-free graphs we obtain for 3-connected planar graphs. In the proof, we use the following result of Barnette about spanning trees of such graphs.

Theorem 17 [3] *Every 3-connected planar graph has a 3-tree.*

Using a similar method as in the proof of Theorem 16, we obtain the following.

Theorem 18 *If G is 3-connected planar graph, then $D'(G) \leq 3$.*

Proof. Let T be a 3-tree of G . It follows from Theorem 10 that $D'(T) \leq 2$ and hence, $D'(G) \leq 3$ by Lemma 13, if T is neither a symmetric nor a bisymmetric tree.

Let then T be a symmetric tree $T_{h,3}$. Denote a central vertex by x , and by T_a, T_b and T_c the connected components of $T - x$ which are trees rooted at the neighbours a, b, c of a vertex x , respectively. Since G is 3-connected, there exist an edge e between T_a and T_b in G . Consider a spanning subgraph $\tilde{T} = T + e$. Then we colour xa and xc with 1, and xb with 2, and extend this colouring as in the proof of Theorem 16 to a colouring of \tilde{T} breaking by all non-trivial automorphisms of \tilde{T} (the colour of e is irrelevant). Consequently, $D'(G) \leq 3$ by Lemma 13.

If T is a bisymmetric tree $T''_{h,3}$ with and a central edge xy , then we can add to T one edge in a subtree of $T - xy$ rooted at x , and such a graph can be easily distinguished by two colours. Again, our claim follows from Lemma 13. \square

2-connected graphs

For a 2-connected planar graph G , the distinguishing index may attain $1 + \lceil \sqrt{\Delta(G)} \rceil$ as it is shown by the complete bipartite graph $K_{2,q}$ with $q = r^2$ for a positive integer r . In this case, $D'(K_{2,q}) = r + 1$ as it follows from the result obtained independently by Fisher and Isaak [7] and by Imrich, Jerebic and Klavžar [11]. They proved exactly the following theorem.

Theorem 19 [7], [11] *Let p, q, d be integers such that $d \geq 2$ and $(d - 1)^p < q \leq d^p$. Then*

$$D'(K_{p,q}) = \begin{cases} d, & \text{if } q \leq d^p - \lceil \log_d p \rceil - 1, \\ d + 1, & \text{if } q \geq d^p - \lceil \log_d p \rceil + 1. \end{cases}$$

If $q = d^p - \lceil \log_d p \rceil$ then the distinguishing index $D'(K_{p,q})$ is either d or $d + 1$ and can be computed recursively in $O(\log^(q))$ time.*

In the next section, we make use of the following immediate corollary.

Corollary 20 *If $p \leq q$, then $D'(K_{p,q}) \leq \lceil \sqrt[p]{q} \rceil + 1$.* □

Moreover, we prove a useful property of distinguishing 2-colourings of complete bipartite graphs.

Proposition 21 *If $D'(K_{p,q}) \leq 2$, then there exists a distinguishing edge 2-colouring such that the edges in one of colours induce a spanning or an almost spanning asymmetric subgraph of $K_{p,q}$.*

Proof. Let P and Q be the two sets of bipartition of $K_{p,q}$, and assume $p \leq q$. If $p = q$, then there exists a spanning asymmetric tree of $K_{p,p}$ (see [12]).

If $p < q$, then to prove the claim it suffices to show the existence of a distinguishing colouring with red and blue, such that at most one vertex in $K_{p,q}$ has no red incident edge. Suppose then that there exist two vertices v and w in P (or both in Q) without any red incident edge. Then a transposition of v and w is a non-trivial automorphism preserving the colouring, a contradiction. Now, let v be a vertex in P without any red incident edge. It is not difficult to observe that even if every vertex in P has a distinct number of incident red edges, then we have $q - p + 1$ free numbers of possible red

incident edges. We choose a number i and we colour red i edges between v and edges in Q with largest number of red incident edges. It is not difficult to observe that such a colouring is preserved only by the identity. So we have a spanning or almost spanning red subgraph of $K_{p,q}$. \square

Corollary 22 *If a graph G is spanned by $K_{p,q}$ and $D'(K_{p,q}) \leq 2$, then $D'(G) \leq 2$.* \square

In general, for 2-connected graphs we conjecture that the complete bipartite graph K_{2,r^2} is the worst case.

Conjecture 23 *If G is a 2-connected graph, then*

$$D'(G) \leq 1 + \left\lceil \sqrt{\Delta(G)} \right\rceil.$$

4 Nordhaus-Gaddum inequalities for D'

In this section, we discuss Conjecture 6, formulated at the end of Introduction, stating that

$$2 \leq D'(G) + D'(\overline{G}) \leq \Delta + 2$$

for every admissible graph G of order $n \geq 7$, where $\Delta = \max\{\Delta(G), \Delta(\overline{G})\}$.

The left-hand inequality is obvious. Indeed, if a graph G is asymmetric, then so is \overline{G} . Thus we are only interested in the right-hand inequality $D'(G) + D'(\overline{G}) \leq \Delta + 2$. Note also that at least one of the graphs G and \overline{G} is connected.

The bound $\Delta + 2$ cannot be improved. To see this, consider a star $K_{1,n-1}$ of any order $n \geq 7$. As $\overline{K_{1,n-1}}$ is a disjoint union of a complete graph K_{n-1} and an isolated vertex, it follows from Proposition 9 that $D'(\overline{K_{1,n-1}}) = 2$. Therefore, $D'(K_{1,n-1}) + D'(\overline{K_{1,n-1}}) = n - 1 + 2 = \Delta + 2$.

If T is a tree, then $\Delta(T)$ can be much smaller than $\Delta = \Delta(\overline{T}) = n - 1$. However, the following holds.

Proposition 24 *If T is a tree of order $n \geq 7$, then*

$$D'(T) + D'(\overline{T}) \leq \Delta(T) + 2.$$

Proof. As it was shown above, the conclusion holds for stars. If T is not a star, then $D'(\overline{T}) \leq 2$ by Lemma 13. Indeed, as it was proved by Hedetniemi et al. in [9], every two trees distinct from a star can be packed into K_n . Thus, the complement \overline{T} contains a spanning asymmetric tree. By Theorem 10, we have the inequality $D'(T) + D'(\overline{T}) \leq \Delta(T) + 2$. \square

This fact emboldened us to formulate the following stronger conjecture.

Conjecture 25 *Every connected admissible graph G of order $n \geq 7$ satisfies the inequality*

$$D'(G) + D'(\overline{G}) \leq \Delta(G) + 2.$$

Now we show that Conjecture 6 holds not only for trees, but also for some other classes of graphs. To do this we use the following fact.

Theorem 26 *Let G be a connected admissible graph of order $n \geq 7$. If either G or \overline{G} has the distinguishing index at most 3, then*

$$D'(G) + D'(\overline{G}) \leq \Delta + 2,$$

where $\Delta = \max\{\Delta(G), \Delta(\overline{G})\}$.

Proof.

Case A. Let $D'(\overline{G}) \leq 3$.

Then $D'(G) \leq \Delta(G) - 1$, and if \overline{G} is connected, then our claim holds. Assume now that \overline{G} is disconnected. Then G is spanned by $K_{p,q}$ with $p \leq q$ and $\Delta = q$. Suppose that a graph \overline{G} has t isomorphic components. If we had a distinct set of three colours for every component, then $D'(\overline{G}) \leq \lceil \sqrt[3]{6t} \rceil$. We then consider two cases:

- If $q \leq 2^p - \lceil \log_2 p \rceil - 1$, then $D'(G) = 2$ by Theorem 19 and Theorem 22. Moreover, we then have at most $\frac{n}{3}$ connected components of \overline{G} , so $D'(\overline{G}) \leq \lceil \sqrt[3]{2n} \rceil$. And we can easily check that

$$\lceil \sqrt[3]{2n} \rceil + 2 \leq \frac{n}{2} + 2$$

for every $n \geq 4$.

- If $q \geq 2^p - \lceil \log_2 p \rceil - 1$, then there exists a big connected component (of order q) in \overline{G} and we can assume that $t \leq \frac{n}{3}$ remaining components are isomorphic ($p \geq 6$). In this case, by assumptions we have $p \leq \lceil \log_2(q+1) \rceil$, therefore

$$D'(\overline{G}) \leq \lceil \sqrt[3]{6t} \rceil \leq \sqrt[3]{2 \lceil \log_2(q+1) \rceil}.$$

On the other hand we have $D'(G) \leq \lceil \sqrt[q]{q} \rceil + 2$ by Theorem 20 and Theorem 13. Then it is not difficult to check that

$$\sqrt[3]{2 \lceil \log_2(q+1) \rceil} + \lceil \sqrt[q]{q} \rceil + 2 \leq q + 2$$

what finishes the proof.

Case B. Let $D'(G) \leq 3$.

If \overline{G} is connected, then we obtain our claim by Theorem 12. Assume now, that \overline{G} has $t \geq 2$ connected components. Then $\Delta \geq \frac{n}{2}$ and, in the worst case, all connected components of \overline{G} are isomorphic. Observe that the maximal degree of every component is at most $\frac{n}{t} - 1$. If we assign one unique colour to every component, then we need at most $\frac{n}{t} - 1 + (t - 1)$ colours to distinguish \overline{G} . Hence, if

$$\frac{n}{t} + t \leq \frac{n}{2} - 1,$$

then $D'(\overline{G}) \leq \Delta - 1$, and our claim is true. The above inequality holds unless $t = 2$.

If there exist two isomorphic connected components in \overline{G} , then $D'(G) \leq 2$ due to Corollary 22 since G is spanned by $K_{\frac{n}{2}, \frac{n}{2}}$. Then $D'(\overline{G}) \leq \frac{n}{2}$, and finally $D'(G) + D'(\overline{G}) \leq \frac{n}{2} + 2$. \square

We now can formulate some consequences of Theorem 26 and suitable results proved in Section 3.

Corollary 27 *Let G be a connected admissible graph of order $n \geq 7$. If G satisfies at least one of the following conditions:*

- *traceable graphs,*
- *claw-free graphs,*

- *triangle-free graphs,*
- *3-connected planar graphs,*

then

$$D'(G) + D'(\overline{G}) \leq \Delta + 2,$$

where $\Delta = \max\{\Delta(G), \Delta(\overline{G})\}$.

Proof. It suffices to apply Theorem 26 together with Theorem 14, Theorem 16 and Theorem 18, respectively. Observe also that if the girth of a graph G is at least 4, i.e., G is triangle-free, then its complement \overline{G} is claw-free. \square

Finally, it has to be noted that there exist graphs of order less than 7 such that the right-hand inequality in Conjecture 6 is not satisfied. For example, for the graph $K_{3,3}$ we have $D'(K_{3,3}) = D'(\overline{K_{3,3}}) = 3$ and $\Delta = 3$, hence $D'(K_{3,3}) + D'(\overline{K_{3,3}}) = \Delta + 4$. Also, $D'(C_5) + D'(\overline{C_5}) = 3 + 3 = \Delta + 4$, and $D'(K_{1,i}) + D'(\overline{K_{1,i}}) = \Delta + 3$ for $i = 3, 4, 5$.

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